

This Page Is Inserted by IFW Operations  
and is not a part of the Official Record

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning documents *will not* correct images,  
please do not report the images to the  
Image Problem Mailbox.**



PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION  
International Bureau



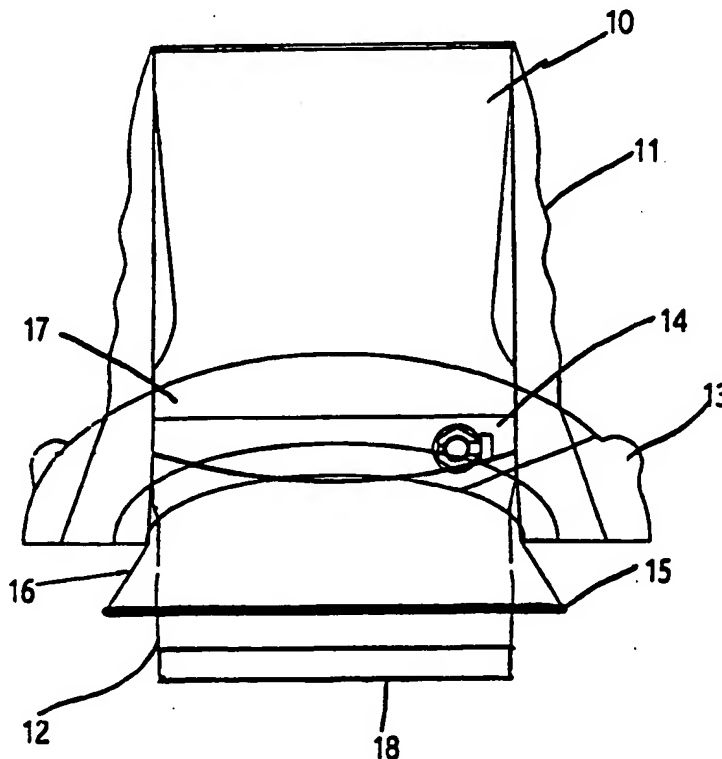
INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification <sup>6</sup> : A61B 19/00, 17/34		A1	(11) International Publication Number: <b>WO 99/25268</b> (43) International Publication Date: 27 May 1999 (27.05.99)
(21) International Application Number: PCT/IE98/00095 (22) International Filing Date: 16 November 1998 (16.11.98) (30) Priority Data: S970810 14 November 1997 (14.11.97) IE (71) Applicant (for all designated States except US): GAYA LIMITED (IE/IE); 43 Fitzwilliam Place, Dublin 2 (IE). (72) Inventor; and (75) Inventor/Applicant (for US only): BONADIO, Frank (US/IE); 2 Martello Terrace, Bray, County Wicklow (IE). (74) Agent: MACLACHLAN & DONALDSON; 47 Merrion Square, Dublin 2 (IE).			(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).  Published With international search report.

(54) Title: SURGICAL HAND ACCESS DEVICE

(57) Abstract

The hand access device (10) comprises an outer sleeve (11) an inner sleeve (12) and a flange (13). The proximal end of the device (10) is sealed by the outer sleeve (11) being inflated by gas and the distal end is sealed by the walls of the inner sleeve (12) being collapsed by gas pressure within a patient's body cavity acting on the walls of the inner sleeve (12). The device (10) is fitted with an internal ring (15) attached with an apron (16) to the inner sleeve (12). The internal ring (15) anchors the device below the abdominal wall of the patient and the apron (16) acts as a wound protector around the site of the incision in the patient's body.



**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
BG	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MR	Mauritania	UA	Ukraine
BR	Brazil	IL	Israel	MW	Malawi	UG	Uganda
BY	Belarus	IS	Iceland	MX	Mexico	US	United States of America
CA	Canada	IT	Italy	NE	Niger	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NL	Netherlands	VN	Viet Nam
CG	Congo	KE	Kenya	NO	Norway	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NZ	New Zealand	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	Poland		
CM	Cameroon	KR	Republic of Korea	PT	Portugal		
CN	China	KZ	Kazakhstan	RO	Romania		
CU	Cuba	LC	Saint Lucia	RU	Russian Federation		
CZ	Czech Republic	LI	Liechtenstein	SD	Sudan		
DE	Germany	LK	Sri Lanka	SE	Sweden		
DK	Denmark	LR	Liberia	SG	Singapore		
EE	Estonia						

## SURGICAL HAND ACCESS DEVICE

The present invention relates to a surgical hand access device, in particular to improvements to a surgical hand access device for minimally invasive surgery.

5

In minimally invasive surgery gas is generally introduced into a patient's peritoneal cavity to cause pneumoperitoneum. Hand assisted laproscopic surgery (HALS) is a refined form of minimally invasive surgery where a small incision is made in the patient's abdomen and a hand access device is inserted into the incision. Using the hand access device a surgeon  
10 can insert his hand into the patient's abdomen and can perform many procedures that cannot be accomplished by standard minimally invasive techniques.

One particularly effective hand access device is that disclosed in our European Patent Specification No. 0 744 922. That device comprises a sleeve having an entry opening  
15 located at a proximal end of the sleeve and an exit opening located at a distal end for insertion into an incision made in a patient's body. The sleeve at the exit opening is collapsible by gas pressure within the abdominal cavity of the patient at or adjacent the distal edges of the sleeve. A taut valve, is also provided at the distal edges of the sleeve to reinforce the seal and to anchor the device on the patients body when the inflatable  
20 chambers of the device outside the patient's body are inflated by gas pressure. This device is a very effective and simple hand access device.

The present invention provides a hand access port device for use in minimally invasive surgery comprising a flexible tube having an entry opening at a proximal end and an exit  
25 opening at a distal end, exit opening sealing means which in use are effected by gas pressure within the abdominal cavity of a patient and sealing means for sealing the entry opening while allowing access for a surgeon's hand or a surgical instrument, characterised in that an anchoring element, separate from and not attached to the exit opening sealing means, is provided to anchor the device against the abdominal wall of the patient's body  
30 cavity.

Preferably, the anchoring element is a deformable ring which may be inserted through the incision in the patient's body and reverts to the oval or circular shape with the patient's body to anchor the device.

- 5 Advantageously, the flexible tube comprises an outer sleeve and an inner sleeve which are joined only at the proximal end of the device with a flange being provided at a distal end of the outer sleeve, the flange being attachable to the skin of the patient's abdomen around the site of the incision with a passage for gas from the patients body cavity being provided between the inner and outer sleeves so as to allow inflation of the outer sleeve.

- 10 Conveniently, an apron is attached to the outer wall of the inner sleeve close to the area where the abdominal wall of the patient comes in contact with the inner sleeve, the anchoring element being located at the distal end of the apron element.

- 15 Preferably, the apron is adapted to be used as a wound protector whereby the outer wall of the apron contacts the surfaces of the wound.

- Advantageously, the exit sealing means comprises a cuff valve and the cuff valve comprises a pair of semi rigid strips, each strip being housed in one of a pair of opposed  
20 pockets formed at the distal end of the sleeve.

Conveniently, the distal end of the outer sleeve is provided with an inflatable toroidal section with a flange at its end for contact with the patient's skin.

- 25 Advantageously, a shortened sleeve element is provided within the inner sleeve to act as an intermediate valve about a surgeon's hand between the entry sealing means and the exit sealing means.

- Additionally, the anchor element includes a ring element mountable externally of the  
30 patient's body.

The device is generally made from flexible, impermeable, biocompatible medically sterile, plastics/polymeric materials.

The invention will hereinafter be more particularly described with reference to the  
5 accompany drawings which show by way of example only, a number of embodiments  
according to the invention. In the drawings: -

Figure 1 is a side view of a first embodiment of surgical hand access device according to  
the invention;

10

Figure 2 is a side view of a second embodiment of surgical hand access device according to  
the invention, having a longer inner sleeve than the first embodiment;

Figures 3 and 4 are side views respectively of third and fourth embodiments of a surgical  
15 hand access device;

Figures 5 and 6 are side views respectively of fifth and sixth embodiments of a hand access  
device;

20 Figure 7 is a side view of a seventh embodiment of a hand access device and Figure 7a is a  
side view of a modification of the seventh embodiment;

Figures 8 and 9 are side views respectively of an eighth and ninth embodiment of a hand  
access device;

25

Figure 10 is a side view of a tenth embodiment of a hand access device according to the  
invention and Figure 10a is a side view of an outer sleeve.

Figure 11 and 11a are a side view respectively of an eleventh embodiment of hand access  
30 device according to the invention and the outer sleeve;

Figure 12 is a perspective view of the eleventh embodiment in position on a patient's abdominal wall;

Figure 13 is a perspective view of one end of a cuff valve which can be used in all the  
5 above embodiments;

Figure 14 is a perspective view of a hinge and Figure 14a is a perspective view of the hinge applied to the cuff;

10 Figure 15 is a perspective view of an alternative hinge and Figure 15a is a perspective view of the alternative hinge applied to the cuff.

Referring to the drawings, and initially to Figure 1 the hand access device 10 comprises an outer sleeve 11 and an inner sleeve 12, a flange 13, an insufflation valve 14. It is operated  
15 in the same manner as our earlier device as described in the above mentioned European patents specification. The device 10 however is fitted with an internal ring 15 which is attached with an apron 16 to the inner sleeve 12 adjacent to the flange 13 below a feather valve 17. The device has no side welds or cross welds and accordingly as the device is inflated with gas the outer sleeve 11 expands and extends upwards and outwards drawing  
20 the inner sleeve 12, the attached apron 16 and flexible internal ring 15 upwards. The effect of the upwards movement is to cause the internal ring 15 to act as an anchor below the abdominal wall and for the further purpose of the apron 16 acting as an additional protective barrier between the incision site and the tissue being operated on by the surgeon. Additionally, the drawing up of the apron 16 and internal ring 15 acts to retract the incision  
25 site helping to create a wider incision lumen through which a surgeon can insert a hand and forearm. A cuff valve 18 is positioned below the ring 15 and comprises two strips of 1 mm VIVAK 15 mm wide enclosed in a SARANEX pocket at the distal end of the inner sleeve 12. (VIVAK and SARANEX are registered trade marks).

30 The second embodiment 20 is generally similar to the first embodiment 10 except that the inner sleeve 22 is longer so as to better accommodate the distance from the fingertips to the forearm to allow a seal around the forearm before breaking the seal at the distal valve.



The third embodiment device 30 comprises two rings, an internal ring 35 and an external ring 36. A taut valve 38 is applied at the distal end of the inner sleeve 32.

- 5 The fourth embodiment device 40 has a reduced outer sleeve 41 and flange 43. A reinforced taut valve 48 is provided at the distal end of the inner sleeve 42.

The fifth embodiment 50 has a reduced outer sleeve 51 and an internal ring 55. The outer sleeve is reduced in height by shortening the length of the side welds 52 that connect the  
10 inner sleeve 53 to the outer sleeve 51. There are no cross welds connecting the inner sleeve 53 and outer sleeve 51. The effect of this is to allow the outer sleeve 51 to move to a greater extent up and down relative to the inner sleeve 53.

In addition the outer sleeve 51 is designed to have extra material at the lower section. The  
15 extra material is connected to a flange according to the invention or other conformable flexible material 57. The inclusion of the extra material at the lower section of the outer sleeve allows the lower section to inflate to a greater extent than the upper section. As gas is induced into the device causing the outer sleeve to inflate, the inner sleeve 53 with the apron 56 and internal ring 55 attached is drawn up. The ability of the outer sleeve 51 to  
20 draw the inner sleeve 53 up is limited by the internal ring 55 and this limitation causes a ballooning effect of the extra outer sleeve 51 material. The ballooning effect has the result, the external flange 57 and inflated toroid 54 combined with the apron 56 and ring 55 anchored internally acts to create a very effective seal around the incision to the gas and further acts as an aseptic seal to the external air. The inner sleeve 53 acts as an integral  
25 wound protector.

The taut valve 58 has struts inside the wings 59. The inner sleeve 52 has side welds which prevent inversion of the inner sleeve. The embodiment 60 has a larger outer sleeve 61, an internal ring 65 and a taut valve 68.

30

The embodiment 70 has an internal ring 75 and an external ring 76. In Figure 7a the cross section of the internal ring is 8 mm as opposed to 6 mm. The internal ring restricts

movement of the taut valve 78 and therefore makes it much easier to pass the hand fully through the valve as far as the forearm.

The embodiment 80 has two rings 85 and 86 and flat weld drapes 83 and 84 as has the  
5 embodiment 90 with two rings 95 and 96. The external ring 96 is closer to the flange 97. The anchor rings 95, 96 give very good results with the internal ring taking up most of tenting force. The flexible outer sleeve 91 allows movement of the surgeon's arm without putting strain on any part of the device.

10 In the tenth embodiment 100, the length of inner sleeve 102 has been increased by 50 mm to allow the taut valve 108 to come up against the internal ring 105. Both of the rings 105 and 106 are made from polyurethane. On inflation of the device there is no gas loss and the tenting force acts on the internal ring. The outer sleeve 101 is very flexible but side welds prevent it from inverting at its proximal end. The inner 102 holds quite taut between  
15 its proximal end and the internal ring. A feather valve 103 is used to form a seal about a surgeon's arm.

The eleventh embodiment 110 is similar to embodiment 100 except the outer sleeve 111 is configured in a straight line. The sleeve 110 is shown in Figures 11 and 12. The external  
20 ring when in position on a patient and the internal ring 115 downloads the force from the flange 113 and protects the taut valve from deflating.

Modifications to the taut valve 130 are shown in Figures 13 to 15a with the use of hinges  
140 and 150.

25

It will of course be understood that the invention is not limited to the specific details described herein, which are given by way of example only, and that various modifications and alterations are possible within the scope of the invention as defined in the appended claims.

CLAIMS:

1. A hand access port device for use in minimally invasive surgery comprising a flexible tube having an entry opening at a proximal end and an exit opening at a distal end,  
5 exit opening sealing means which in use are effected by gas pressure within the abdominal cavity of a patient and sealing means for sealing the entry opening while allowing access for a surgeon's hand or a surgical instrument, characterised in that an anchoring element, separate from and not attached to the exit opening sealing means, is provided to anchor the device against the abdominal wall of the patient's body cavity.
- 10 2. A hand access port device as claimed in Claim 1, in which the anchoring element is a deformable ring which may be inserted through the incision in the patient's body and reverts to its original shape within the patient's body to anchor the device.
- 15 3. A hand access port device as claimed in Claim 1 or Claim 2, in which the flexible tube comprises an outer sleeve and an inner sleeve which are joined only at the proximal end of the device with a flange being provided at a distal end of the outer sleeve, the flange being mounted on the patient's abdomen around the site of the incision.
- 20 4. A hand access port device as claimed in Claim 3, in which an apron is attached to the outer wall of the inner sleeve with the anchoring element being located at the distal end of the apron element.
5. A hand access port device as claimed in Claim 4, in which the apron is adapted to be  
25 used as a wound protector whereby the outer wall of the apron contacts the surfaces of the incision.
6. A hand access port device as claimed in Claim 3, in which the distal end of the outer sleeve is provided with an inflatable toroidal section for contact with the patient's skin.
- 30 7. A hand access device as claimed in any one of the preceding claims, in which the exit sealing means comprises a cuff valve.

8. A hand access device as claimed in Claim 7, in which the cuff valve comprises a pair of semi rigid strips, each strip being housed in one of a pair of opposed pockets formed at the distal end of the inner sleeve.

5

9. A hand access port device as claimed in Claim 3, in which a third sleeve element is provided within the inner sleeve to act as an intermediate valve about a surgeon's hand between the entry sealing means and the exit sealing means.

10 10. A hand access port device as claimed in any one of the preceding claims, in which the anchor element includes a ring element mountable externally of the patient's body.

11. A hand access port device as claimed in any one of the preceding claims in which the device is made from flexible, impermeable, biocompatible medically sterile,  
15 plastics/polymeric materials.

12. A hand access port device substantially in accordance with any of the embodiments as herein described with reference to and as shown in the accompanying drawings.

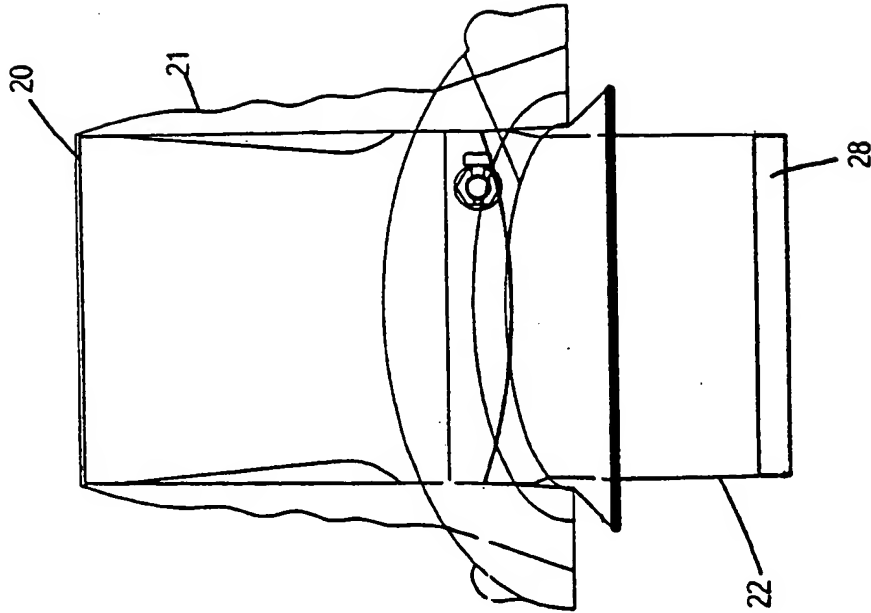


FIGURE 2

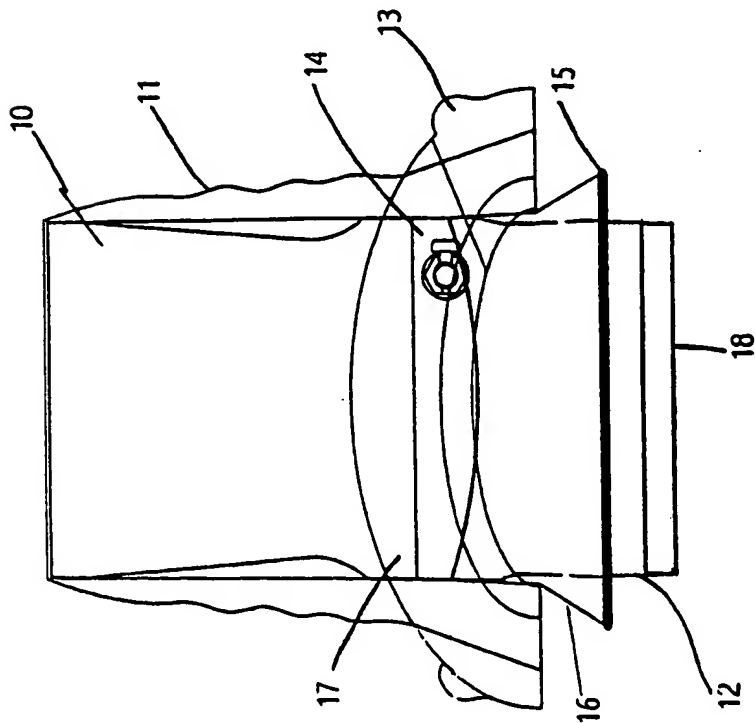


FIGURE 1

2/9

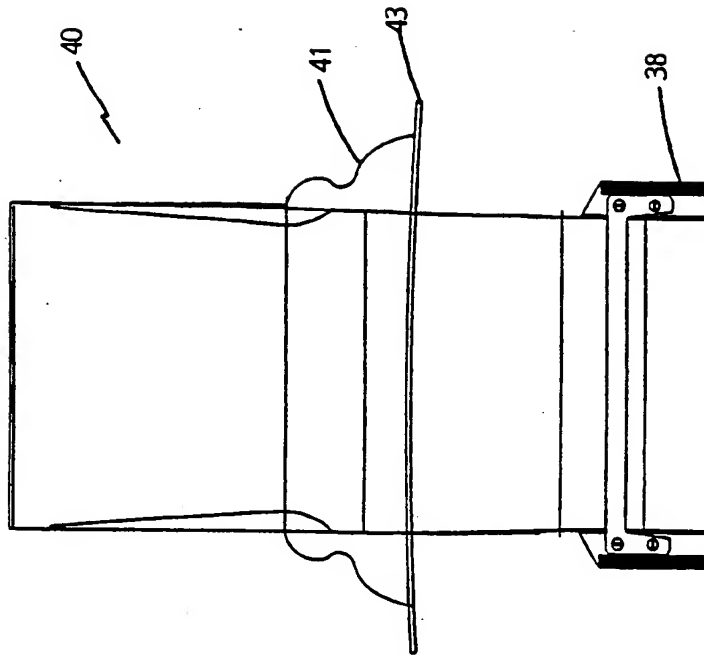


FIGURE 4

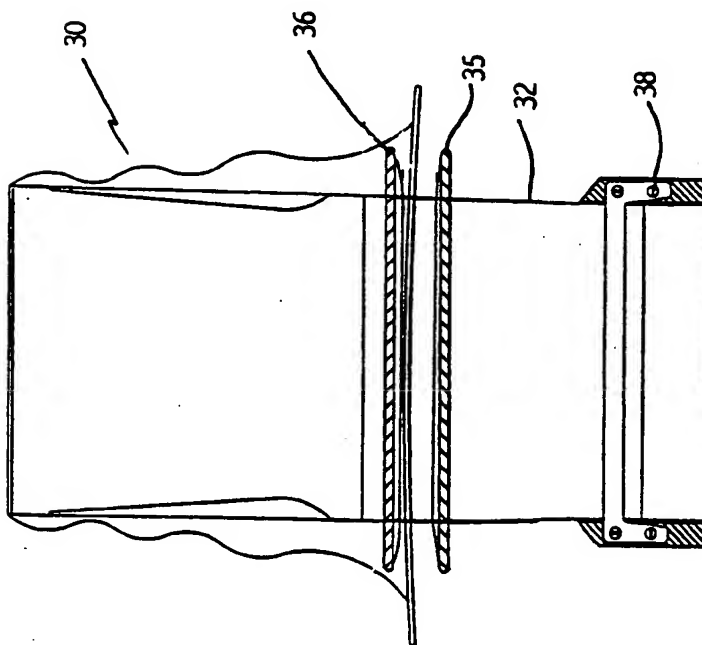


FIGURE 3

3/9

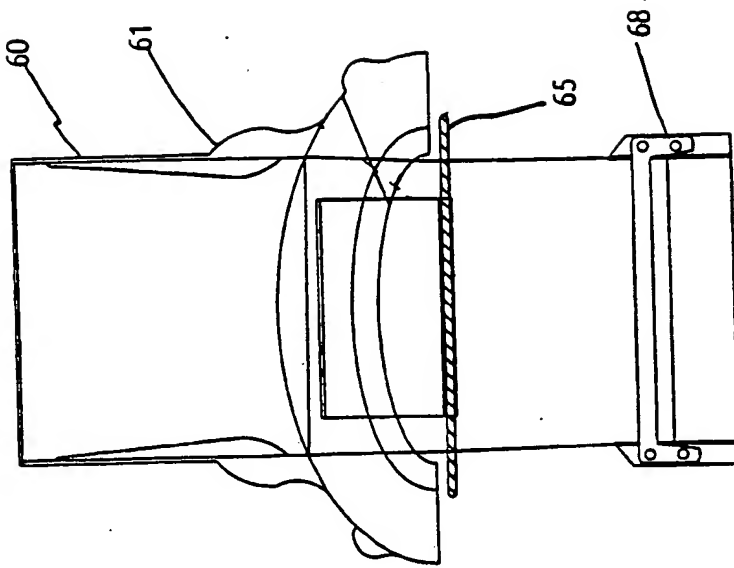


FIGURE 6

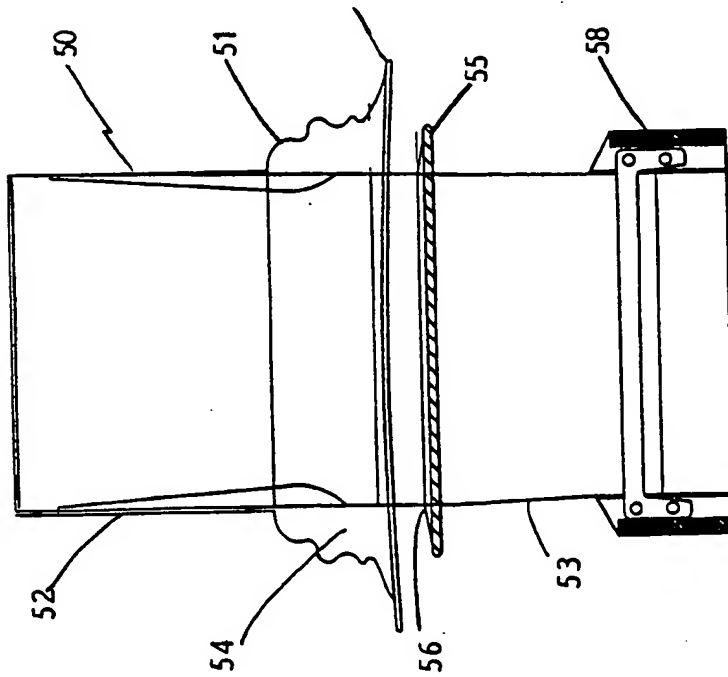


FIGURE 5

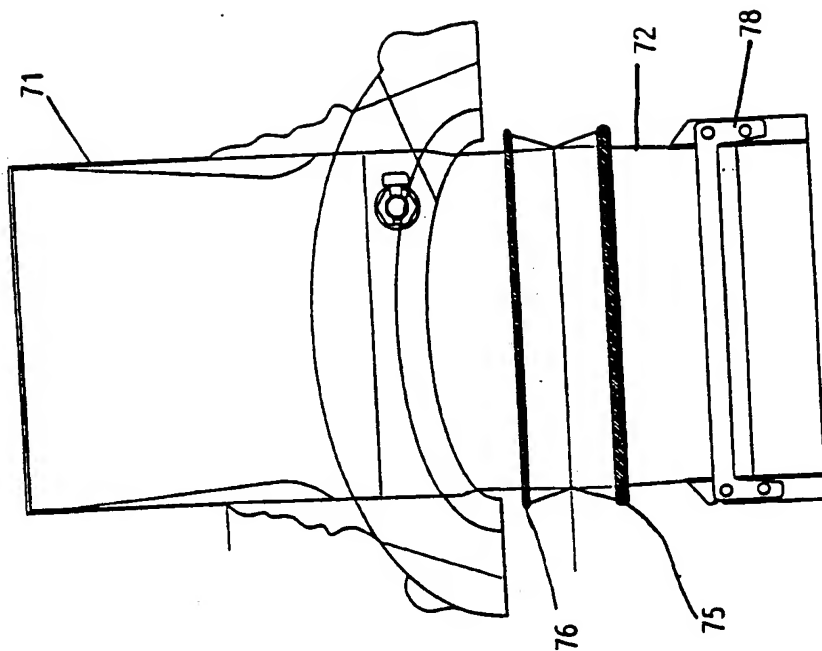


FIGURE 7a

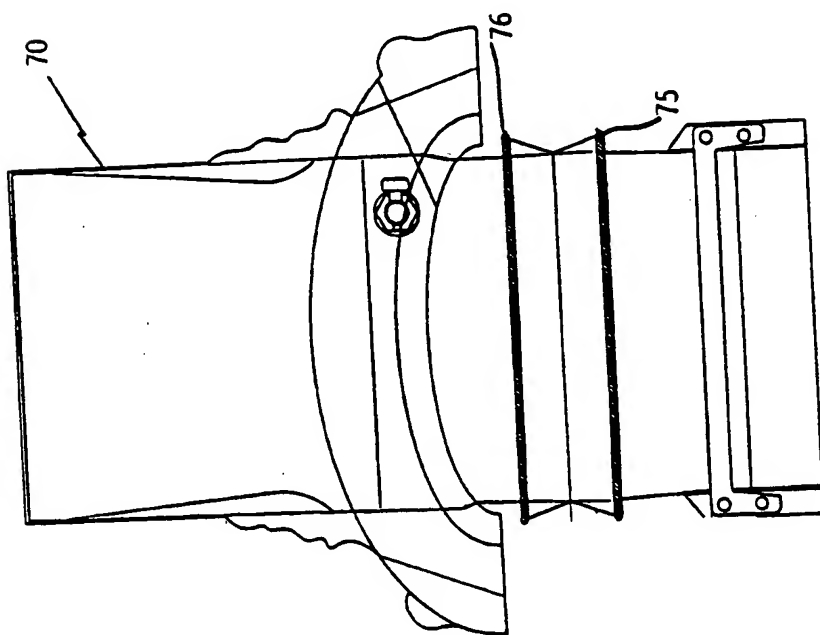


FIGURE 7



5/9

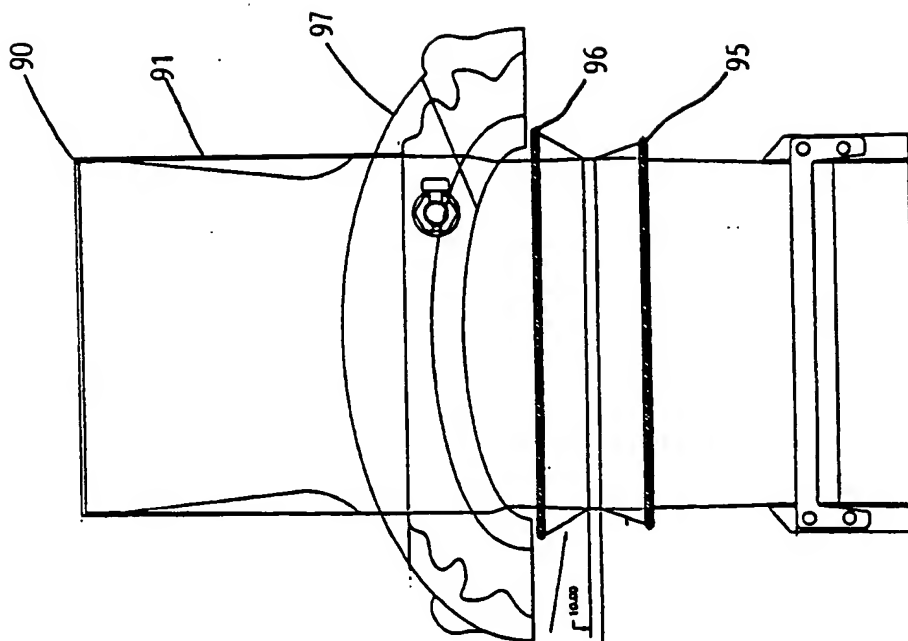


FIGURE 9

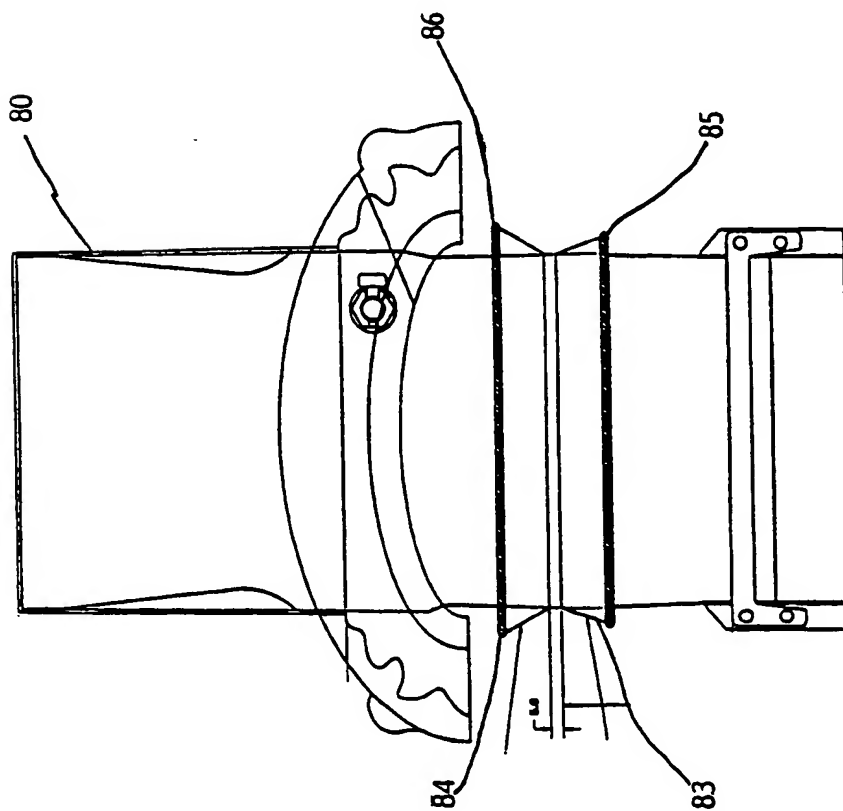
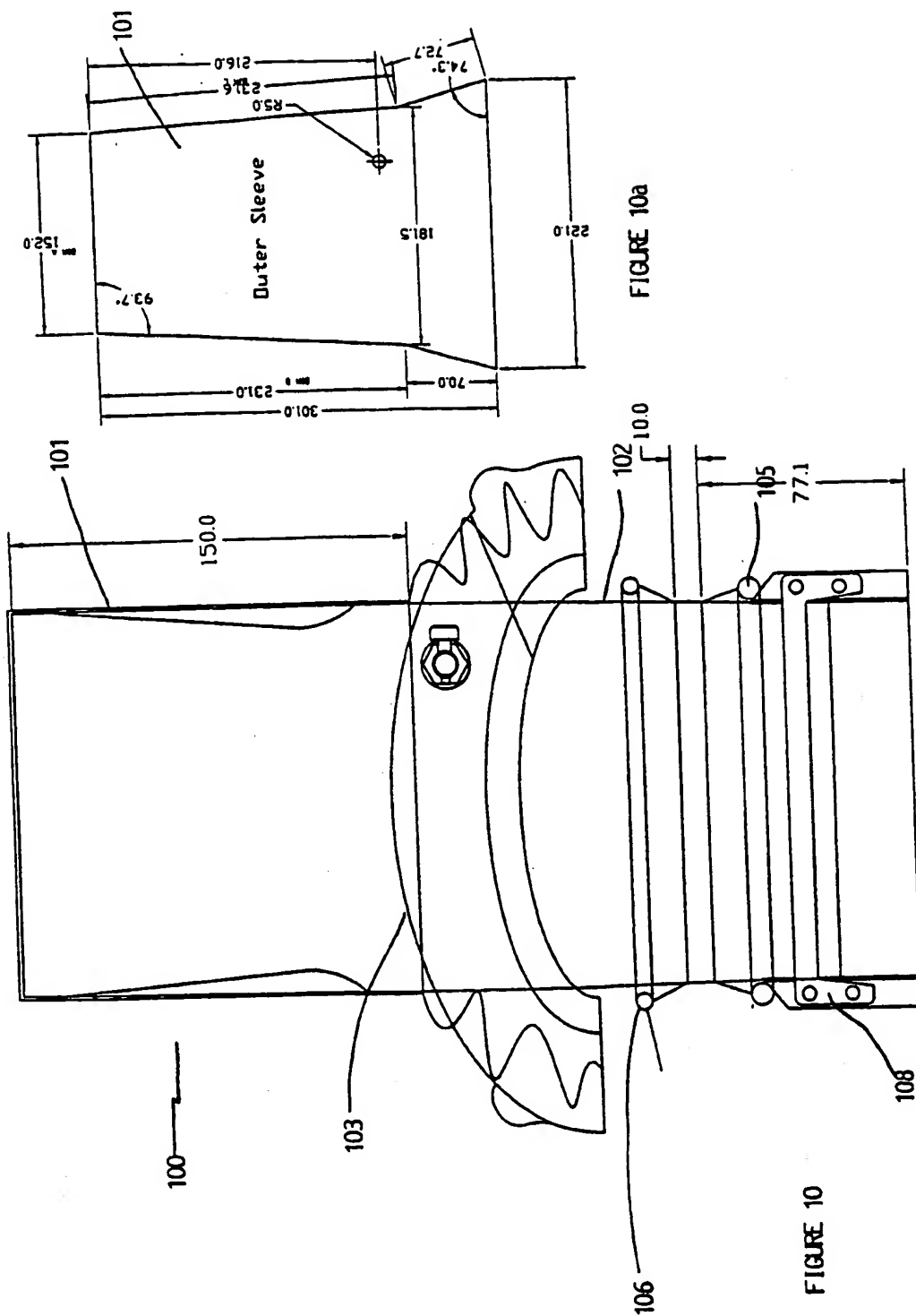


FIGURE 8





8/9

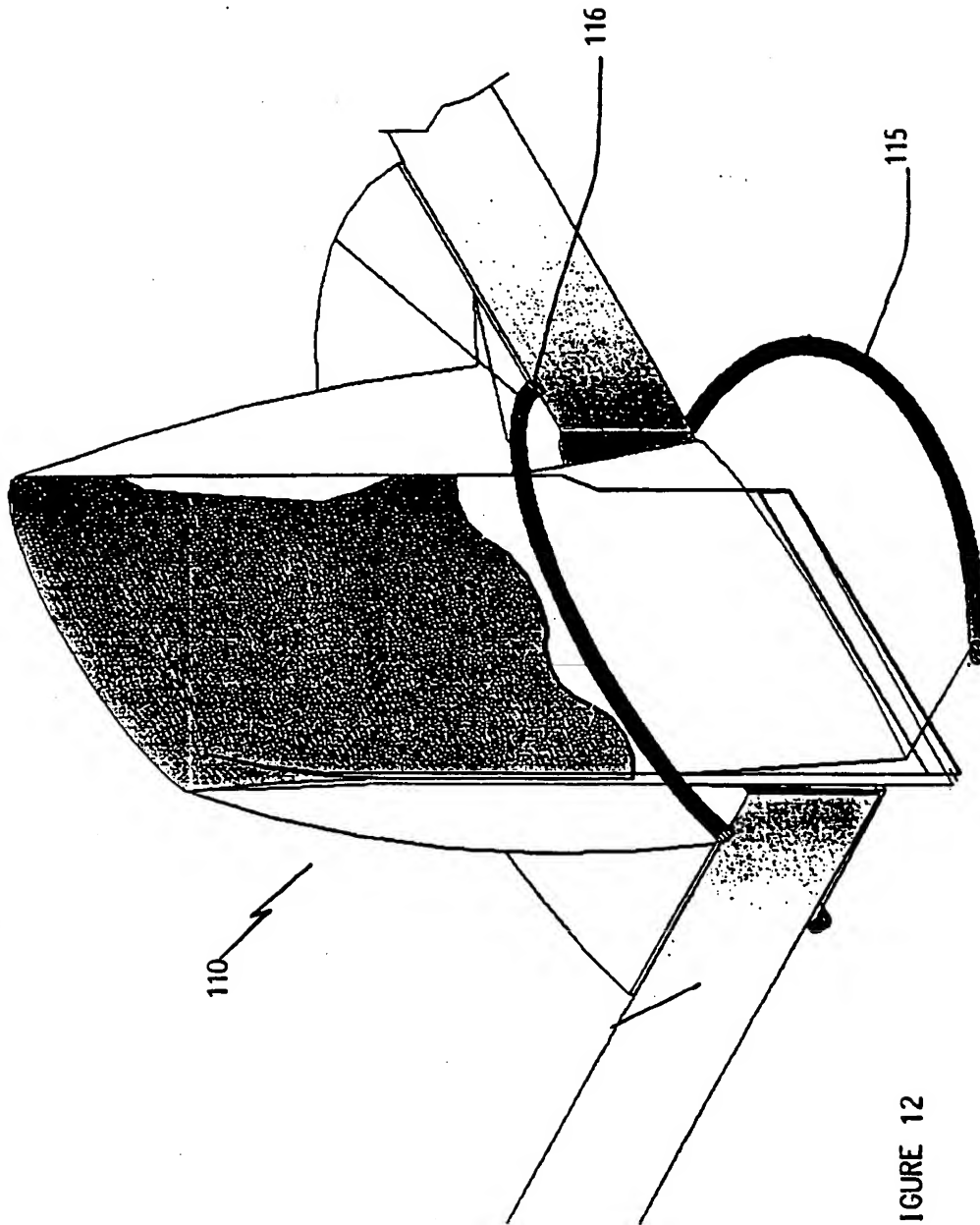


FIGURE 12

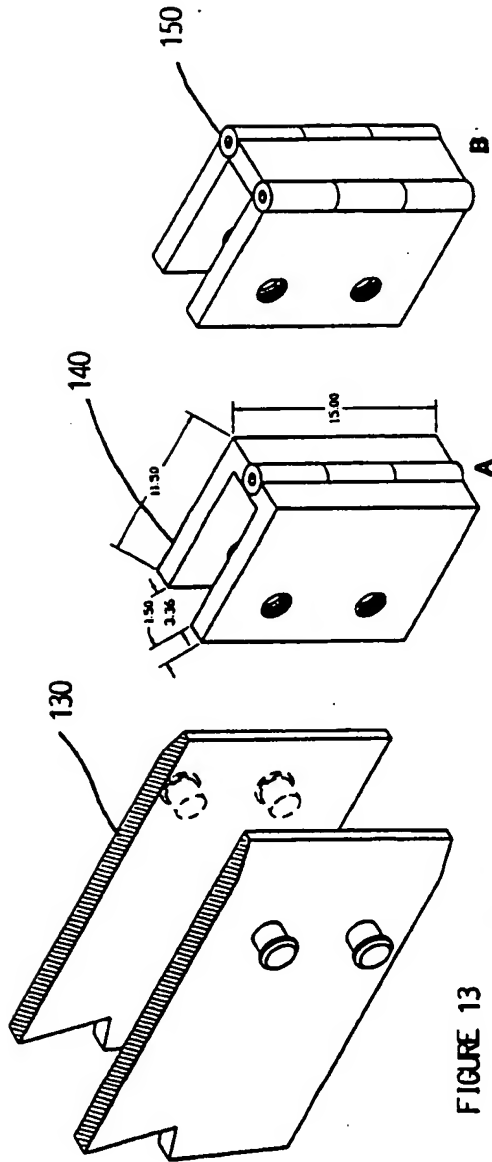


FIGURE 13

FIGURE 14

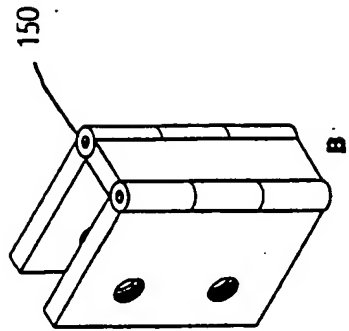


FIGURE 15

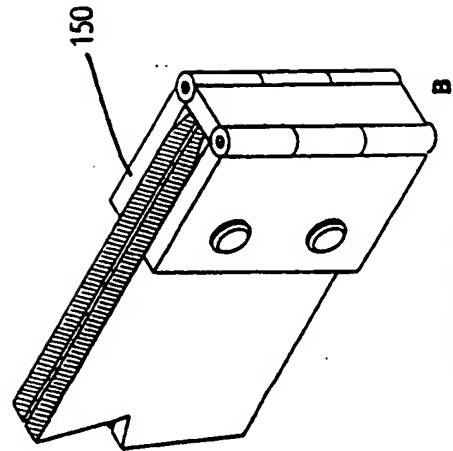


FIGURE 15A

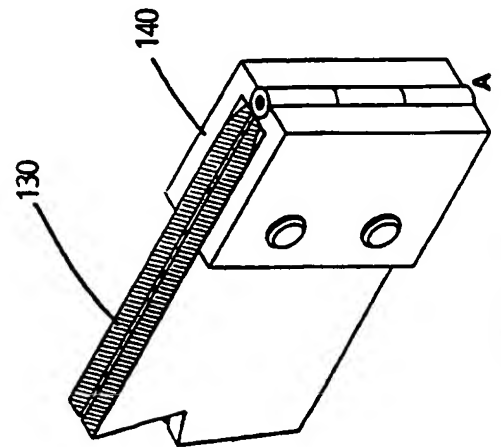


FIGURE 14A

# INTERNATIONAL SEARCH REPORT

International Application No  
PCT/IE 98/00095

A. CLASSIFICATION OF SUBJECT MATTER  
IPC 6 A61B19/00 A61B17/34

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)  
IPC 6 A61B

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	WO 95 22289 A (GAYA LIMITED) 24 August 1995 see the whole document	1
A	WO 95 07056 A (ENCORET LIMITED) 16 March 1995 see page 7, line 1 - page 10, line 13; figures 1-10	1
A	US 5 522 791 A (LEYVA) 4 June 1996 see column 2, line 65 - column 3, line 65; figures 1-3	1
A	US 5 514 133 A (GOLUB ET AL.) 7 May 1996 see abstract; figures	1

-/-

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

### \* Special categories of cited documents:

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

- "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
- "S" document member of the same patent family

Date of the actual completion of the international search

10 February 1999

Date of mailing of the international search report

17/02/1999

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Giménez Burgos, R

# INTERNATIONAL SEARCH REPORT

International Application No  
PCT/IE 98/00095

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	<p>US 5 366 478 A (BRINKERHOFF ET AL.)  22 November 1994  see abstract; figures 1-3  see column 4, line 28-36  -----</p>	1

# INTERNATIONAL SEARCH REPORT

International Application No  
PCT/IE 98/00095

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO 9522289 A	24-08-1995	IE 940150 A	04-10-1995
		IE 940613 A	04-10-1995
		IE 950055 A	07-08-1996
		AT 164303 T	15-04-1998
		AU 695770 B	20-08-1998
		AU 1717395 A	04-09-1995
		BR 9506817 A	09-09-1997
		CA 2183064 A	24-08-1995
		CN 1144471 A	05-03-1997
		CZ 9602404 A	16-04-1997
		DE 69501880 D	30-04-1998
		DE 69501880 T	23-07-1998
		EP 0744922 A	04-12-1996
		EP 0807416 A	19-11-1997
		ES 2115365 T	16-06-1998
		FI 963226 A	17-10-1996
		HU 76016 A	30-06-1997
		JP 9509079 T	16-09-1997
		NO 963421 A	14-10-1996
		NZ 279907 A	26-06-1998
		PL 315939 A	09-12-1996
		US 5803921 A	08-09-1998
		ZA 9501378 A	24-10-1995
WO 9507056 A	16-03-1995	AU 696289 B	03-09-1998
		AU 7507494 A	27-03-1995
		CA 2171177 A	16-03-1995
		EP 0776180 A	04-06-1997
		EP 0834279 A	08-04-1998
		EP 0888755 A	07-01-1999
		EP 0887047 A	30-12-1998
		EP 0887048 A	30-12-1998
		JP 9502624 T	18-03-1997
US 5522791 A	04-06-1996	NONE	
US 5514133 A	07-05-1996	NONE	
US 5366478 A	22-11-1994	NONE	